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## Nonprofit Explorer

Research Tax-Exempt Organizations

# ROCKEFELLER FOUNDATION

NEW YORK, NY 10018-2729 | TAX-EXEMPT SINCE JAN. 1955

## Full text of "General explanation attachment" for fiscal year ending Dec. 2015

Tax returns filed by nonprofit organizations are public records. The Internal Revenue Service releases them in two formats: page images and raw data in XML. The raw data is more useful, especially to researchers, because it can be extracted and analyzed more easily. The pages below are a reconstruction of a tax document using raw data from the IRS.

**Source:** Data and stylesheets from the Internal Revenue Service. E-file viewer adapted from [IRS e-File Viewer](#) by Ben Getson.

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General explanation attachment



efile Public Visual Render

Objectid: 201633199349100638 - Submission: 2016-11-14

TIN: 13-1659629

## TY 2015 IRS 990 e-File Render

Name: THE ROCKEFELLER FOUNDATION

ON: 13-1659629

Identifier	Return Reference	Explanation
	990PF, PAGE 10, PART XV, LINES 2A THROUGH 2D	GRANT APPLICATION SUBMISSION INFORMATION(A) WE ASK PROSPECTIVE APPLICANTS TO DETERMINE IF THEIR ORGANIZATION'S PROJECT ALIGNS WITH THE FOUNDATION'S PROGRAMMATIC GOALS BY VISITING WWW.ROCKEFELLERFOUNDATION.ORG. THE FOUNDATION INVITES INQUIRIES VIA A PORTAL ON OUR WEB SITE AT WWW.ROCKEFELLERFOUNDATION.ORG. THE FOUNDATION ONLY ACCEPTS UNSOLICITED INQUIRIES VIA THE WEB SITE.(B) APPLICANTS ARE ASKED TO FILL IN THE ON-LINE INQUIRY FORM ON THE FOUNDATION'S WEB SITE AT WWW.ROCKEFELLERFOUNDATION.ORG, IN ORDER FOR THE FOUNDATION TO MAKE AN INITIAL ASSESSMENT OF INTEREST.APPLICANTS TO THE BELLAGIO CENTER, ITALY, ARE ASKED TO APPLY ON LINE AT WWW.ROCKEFELLERFOUNDATION.ORG.(C) IN 2015, THERE WERE NO SPECIFIC SUBMISSION DEADLINES FOR THE FOUNDATION'S PROGRAMS, OTHER THAN FOR THE BELLAGIO PROGRAM. IN ADDITION, FROM TIME TO TIME, REQUESTS FOR PROPOSALS WERE SENT OUT WITH SPECIFIC DEADLINES TO A SELECT GROUP OF PROSPECTIVE GRANTEES FOR PARTICULAR PROJECTS.(D) THE ROCKEFELLER FOUNDATION DEVELOPS ITS PROGRAMS, STRATEGIES AND APPROACHES DESIGNED TO HAVE AN IMPACT ON A PARTICULAR SET OF ISSUES OR CHALLENGES THROUGH A CONSULTATIVE AND COLLABORATIVE PROCESS. WE ENGAGE A VARIETY OF GROUPS, INCLUDING SUBJECT MATTER EXPERTS, POLICYMAKERS AND REPRESENTATIVES OF APPLICABLE COMMUNITIES TO FULLY UNDERSTAND A GIVEN CHALLENGE OR OPPORTUNITY, INCLUDING THE ECONOMIC, POLITICAL OR SOCIAL CONTEXT IN WHICH IT RESIDES. IN THE COURSE OF PROGRAM DEVELOPMENT, THE FOUNDATION IDENTIFIES AND CONSIDERS ORGANIZATIONS THAT WE BELIEVE ARE BEST POSITIONED TO IMPLEMENT DEFINED COMPONENTS OF A PROGRAM. THIS PROCESS HELPS DETERMINE THE ORGANIZATIONS THAT THE FOUNDATION WILL PROVIDE GRANTS TO, AND WE WILL THEN REQUEST PROJECT PROPOSALS FROM THOSE ORGANIZATIONS. IN ORDER TO FOCUS AND MAXIMIZE THE IMPACT OF OUR GRANTMAKING, WE RARELY PROVIDE FUNDING IN RESPONSE TO UNSOLICITED PROPOSALS. AS A MATTER OF POLICY, THE FOUNDATION DOES NOT GIVE OR LEND MONEY FOR PERSONAL AID TO INDIVIDUALS OR, EXCEPT IN RARE CASES, FUND ENDOWMENTS, OR CONTRIBUTE TO BUILDING AND OPERATING FUNDS.THE ROCKEFELLER FOUNDATION PURSUES ITS 1913 MISSION OF PROMOTING THE WELL-BEING OF HUMANITY THROUGHOUT THE WORLD THROUGH DUAL GOALS: ADVANCING INCLUSIVE ECONOMIES THAT EXPAND OPPORTUNITIES FOR MORE BROADLY SHARED PROSPERITY, AND BUILDING RESILIENCE BY HELPING PEOPLE, COMMUNITIES AND INSTITUTIONS PREPARE FOR, WITHSTAND, AND EMERGE STRONGER FROM ACUTE SHOCKS AND CHRONIC STRESSES. TO ACHIEVE THESE GOALS, THE ROCKEFELLER FOUNDATION WORKS AT THE INTERSECTION OF FOUR FOCUS AREAS - ADVANCE HEALTH, REVALUE ECOSYSTEMS, SECURE LIVELIHOODS, AND TRANSFORM CITIES - TO ADDRESS THE ROOT CAUSES OF EMERGING CHALLENGES AND CREATE SYSTEMIC CHANGE. CURRENT INITIATIVES, AS WELL AS AREAS BEING EXPLORED FOR FUTURE INITIATIVES, ARE DESCRIBED ON THE FOUNDATION'S WEB SITE AT WWW.ROCKEFELLERFOUNDATION.ORG, WHICH IS CONTINUALLY UPDATED. INITIATIVES THAT WERE ACTIVE DURING 2015 INCLUDED: 100 RESILIENT CITIES; THE GLOBAL RESILIENCE PARTNERSHIP; THE ALLIANCE FOR A GREEN REVOLUTION IN AFRICA; DIGITAL JOBS AFRICA; BUILDING CLIMATE CHANGE RESILIENCE; SMART POWER FOR RURAL DEVELOPMENT; INNOVATIVE FINANCE; AND YIELDWISE. THE FOUNDATION ALSO ACTIVELY ENGAGE IN EXPLORATIONS OF POSSIBLE NEW INITIATIVES, WHICH ARE ALSO DESCRIBED ON THE WEBSITE.THE FOUNDATION ALSO OPERATES A CONFERENCE AND STUDY PROGRAM AT ITS BELLAGIO CENTER IN ITALY.
SUMMARY OF DIRECT CHARITABLE ACTIVITIES	990PF, PAGE 7, PART IX-A	THE ROCKEFELLER FOUNDATIONBELLAGIO CENTER, ITALY2015 FORM 990PFPART IX ATHE BELLAGIO CENTER HAD OPERATING EXPENSES OF \$4,260,017. SINCE 1959, THE CENTER HAS SERVED AS A RESOURCE FOR FOSTERING DIALOGUE AND PROBLEM SOLVING FOR DIVERSE PARTICIPANTS AROUND THE WORLD. THE CENTER HOSTS CONFERENCES THAT FOCUS ON TOPICS OF INTERNATIONAL INTEREST, WITH PREFERENCE GIVEN TO APPLICANTS WHO PROMOTE DEVELOPMENT AND ALIGN WITH THE FOUNDATION'S AREAS OF INTEREST. IN 2015 THE BELLAGIO CENTER HOSTED 47 MEETINGS (THESE MEETINGS WERE ORIGINALLY APPROVED IN 2014 OR 2015) WITH A TOTAL OF 972 PARTICIPANTS; 40% OF WHOM WERE FROM NORTH AMERICA, 33% FROM DEVELOPED COUNTRIES OUTSIDE OF NORTH AMERICA, AND 27% FROM DEVELOPING COUNTRIES. IN ADDITION, THE CENTER OFFERS TWO-TO-FOUR WEEK RESIDENCIES TO SCHOLARS, WRITERS, ARTISTS, AND COMPOSERS. IN 2015, THE CENTER HOSTED 88 RESIDENTS, 72% OF WHOM WERE FROM NORTH AMERICA, 6% FROM DEVELOPED COUNTRIES OUTSIDE OF NORTH AMERICA, AND 23% FROM DEVELOPING COUNTRIES. A LISTING OF 2015 CONFERENCES, TEAMS AND INDIVIDUAL RESIDENTS IS BELOW.2015 BELLAGIO CENTER CONFERENCES02/09/15 TO 02/13/2015"LANCET COMMISSION ON GLOBAL SURGERY IMPLEMENTATION REVIEW", THE LANCET COMMISSION ON GLOBAL SURGERY, MEARA, JOHN (UNITED STATES)02/17/15 TO 02/20/2015"AVERTING A NEW GLOBAL TIPPING POINT BY SAVING AFRICA'S FORGOTTEN RAINFORESTS AND RESETTING THE COURSE OF DEVELOPMENT", GLOBAL WITNESS, PARDAL, ALEXANDRA (UNITED KINGDOM)02/24/15 TO 02/28/2015"GLOBAL DEMONSTRATION AND SCALE UP OF TRANSFORMATIONAL CHANGE TOWARDS PLANETARY HEALTH", CHARITY, HEAD, PETER (UNITED KINGDOM)03/09/2015 TO 03/13/2015"FIELD LESSONS FROM THE GROUND: BUILDING URBAN RESILIENCE UPON THE OCCASION OF THE 10TH ANNIVERSARY OF HURRICANE KATRINA AND RITUAL MUNICIPAL ART SOCIETY OF NEW YORK (RAMAN)

HEALTH, HARVARD UNIVERSITY, ATUN, RIFAT (UNITED STATES)03/24/2015 TO 03/28/2015"SCALING CLEAN MINI GRID DEVELOPMENT AND FINANCE", UN SUSTAINABLE ENERGY FOR ALL, SINGER, CHRISTINE (UNITED STATES)04/06/2015 TO 04/10/2015"PORTFOLIO DECARBONIZATION COALITION - MAINSTREAMING LOW CARBON CLIMATE RESILIENT INVESTMENTS", AMUNDI, SAMAMA, FREDERIC (FRANCE)04/06/2015 TO 04/10/2015"PORTFOLIO DECARBONIZATION COALITION - MAINSTREAMING LOW CARBON CLIMATE RESILIENT INVESTMENTS", COLUMBIA UNIVERSITY, BOLTON, PATRICK (UNITED STATES)04/13/2015 TO 04/17/2015"HEALTH INEQUALITIES AND ACCESS TO SOCIAL SECURITY FOR INFORMAL WORKERS IN LATIN AMERICA", AFRICA AND ASIA: FINALIZING THE GLOBAL BASELINE, FLASCO, SOLAR, ORIELLE (CHILE)4/20/2015 TO 4/24/2015"WHAT'S THE NEXT BIG IDEA IN INNOVATIVE FINANCE?" UNIVERSITY OF PENNSYLVANIA, ASHBURN, NICK, (UNITED STATES)04/21/2015 TO 04/25/2015"URBAN RESILIENCE LEADERSHIP TRAINING CONVENING", 100 RESILIENT CITIES, MERCER, ELIZABETH (UNITED STATES)04/28/2015 TO 05/02/2015"UPSCALING RURAL WOMEN'S TECHNOLOGIES TO INCREASE RESILIENCE AND ACCELERATE AGRICULTURAL PRODUCTIVITY", UN WOMEN, TORKELSSON, ASA (KENYA)05/04/2015 TO 05/08/2015"SUSTAINABLE AND SHARED ECONOMIC GROWTH, THE GROWTH DIALOGUE", LEIPZIGER, DANNY (UNITED STATES)05/11/2015 TO 05/15/2015"TOWARD A SHARED AFRICAN AMERICAN ECONOMIC AGENDA", UNIVERSITY OF CALIFORNIA, BERKELEY, POWELL, JOHN (UNITED STATES)05/18/2015 TO 05/22/2015"WHEN COMMUNITIES NEED TO BE RELOCATED: CONSOLIDATING GUIDANCE IN THE CONTEXT OF CLIMATE CHANGE", THE BROOKINGS INSTITUTION, URETSKY, STEWART (UNITED STATES)05/19/2015 TO 05/23/2015"REDEFINING RESILIENCY: THE DEMOGRAPHICS OF AGING AS A CRITICAL CHALLENGE", PARTNERS FOR LIVABLE COMMUNITIES, MCNULTY (PENNY CUFF), PENELOPE (UNITED STATES)05/25/2015 TO 05/29/2015"THE DEVELOPMENT OF A COMMUNITY-DRIVEN CORPORATE IMPACT ASSESSMENT FRAMEWORK FOR LOW AND MIDDLE INCOME COUNTRIES", FLINDERS UNIVERSITY OF SOUTH AUSTRALIA, BAUM, FRANCES (FRAN) (AUSTRALIA)06/08/15 TO 06/12/15"THE B TEAM MEETING - ITALY", THE B TEAM, JOSHI, RAJIV (UNITED STATES)06/15/15 TO 06/19/15"TOWARDS A UNIVERSAL MEDICAL STUDENT CURRICULUM FOR GLOBAL HEALTH", BEN GURION UNIVERSITY, MARGOLIS, CARMI (UNITED STATES)06/16/15 TO 06/20/15"DRIVING CHANGE", INTERNATIONAL CIVIL SOCIETY CENTER, GNAERIG, BURKHARD (GERMANY)06/22/15 TO 06/26/15"DEVELOPING A STRATEGIC MEDIA FRAMEWORK TO CONSOLIDATE GAINS IN THE FIGHT AGAINST MALARIA AND POSITION AFRICA FOR THE NEW MALARIA VACCINE", AFRICAN MEDIA AND MALARIA RESEARCH NETWORK, BINKA, CHARITY (GHANA)06/28/15 TO 07/04/15"ROCKEFELLER FOUNDATION BOARD MEETING - 2015", THE ROCKEFELLER FOUNDATION (UNITED STATES)10/01/15 TO 10/04/15"MAYOR'S SUMMIT FOR RESILIENCE LEADERSHIP", DELOITTE CONSULTING LLP, BEGIN, MCKENNA (UNITED STATES)11/23/15 TO 11/27/15"DISCUSSION OF PROPOSED CENTRE FOR URBAN LAW AND FINANCE IN AFRICA (CULFA)", UNIVERSITY OF CAPE TOWN, BERRISFORD, ARTHUR STEPHEN (SOUTH AFRICA)07/06/15 TO 07/10/15"GLOBAL HEALTH 2035 (GH2035): PATHWAYS TOWARDS PROGRESSIVE UHC", UCSF GLOBAL HEALTH GROUP & UCSF SCHOOL OF MEDICINE, YAMEY, GAVIN (UNITED STATES)07/13/15 TO 07/17/15"CLIMATE FUTURES: RE-IMAGINING GLOBAL CLIMATE JUSTICE", THE UNIVERSITY OF WAIKATO, KURIAN, PRIYA (NEW ZEALAND)07/14/15 TO 07/18/15"HUMANITARIANS IN THE SKY: CHALLENGES AND OPPORTUNITIES OF UAVS IN HUMANITARIAN SETTINGS", APPLYING ON BEHALF OF LEAD CONVENER: UNOCHA, MEIER, PATRICK (UNITED STATES)07/27/15 TO 07/31/15"GLOBAL RESILIENCE PARTNERSHIP: PARTNERSHIP COUNCIL CONVENING", KPMG, GARRIDO-MIRAPEIX, JULIO (KENYA)08/03/15 TO 08/07/15"PRAGMATIC RESEARCH FOR EFFECTIVE TECHNOLOGY (PRET): BUILDING HEALTH SYSTEMS' CAPACITY TO PRODUCE RIGOROUS AND RELEVANT PRIMARY EVIDENCE", NICE INTERNATIONAL, CHALKIDOU, KALIPSO (UNITED KINGDOM)08/25/15 TO 08/29/15"ACADEMIA CONCERTANTE", ACCADEMIA CONCERTANTE, FEDELI, MATTEO (ITALY)08/31/15 TO 09/04/15"9TH GDLN GLOBAL MEETING", KDI SCHOOL OF PUBLIC POLICY AND MANAGEMENT, YUN, HAI-YOUNG (SOUTH KOREA (REP. OF KOREA))09/07/15 TO 09/11/15"THE RESILIENCE INITIATIVE: NEXT STEPS", ECOTRUST, HOLLAND, CAROLYN (UNITED STATES)09/14/15 TO 09/18/15"BUILDING A COALITION FOR IMPACT SOURCING", BSR, CRAMER, ARON (UNITED STATES)09/21/15 TO 09/25/15"VALUING THE THINGS THAT MATTER", THE SROI NETWORK, NICHOLLS, JEREMY (UNITED KINGDOM)09/22/15 TO 09/26/15"ALTERNATIVE METROPOLITAN MUNICIPAL FINANCING MODELS", SOUTH AFRICAN CITIES NETWORK, KARURI-SEBINA, JOANNE (SOUTH AFRICA)10/01/15 TO 10/04/15"MAYOR'S SUMMIT FOR RESILIENCE LEADERSHIP", 100 RESILIENT CITIES, LIPPER, BRYNA (UNITED STATES)10/06/15 TO 10/10/15"NEW RESOURCE FRONTIERS: DEEP SEABED MINING", INTERNATIONAL SEABED AUTHORITY, LODGE, MICHAEL (JAMAICA)10/12/15 TO 10/16/15"QUALITY OF CARE WITHIN PRIVATE FRANCHISED CLINICS", UCSF, MONTAGU, DOMINIC (UNITED STATES)10/19/15 TO 10/23/15"INTERNATIONAL GOVERNANCE OF RESEARCH ON CLIMATE ENGINEERING", UCLA LAW SCHOOL, PARSON, EDWARD (UNITED STATES)10/20/15 TO 10/24/15"ADAPTING PUBLIC HEALTH COMMUNICATION FOR 21ST CENTURY REALITIES", COLLINS, TEGWYN (UNITED STATES)10/26/15 TO 10/30/15"IMPROVING HEALTH THROUGH BETTER GOVERNANCE - STRENGTHENING THE GOVERNANCE OF DIET AND NUTRITION PARTNERSHIPS FOR THE PREVENTION OF CHRONIC DISEASES", UK HEALTH FORUM, MWATSAMA, MODI (UNITED KINGDOM)11/02/15 TO 11/06/15"CREATING A GLOBAL HEALTH RISK FRAMEWORK FOR THE FUTURE - FINAL COMMISSION MEETING", IOM, MUNDACA-SHAH, CECI (UNITED STATES)11/03/15 TO 11/07/15"MEETING OF THE GLOBAL RESILIENCE PARTNERSHIP", KPMG KENYA, MCLEOD, HELENA (KENYA)11/09/15 TO 11/13/15"A UNIVERSAL PRIMARY PREVENTION IMPERATIVE: FROM SCIENCE TO POLICY FOR POPULATION HEALTH", CITY OF DETROIT, EL-SAYED, ABDULRAHMAN (UNITED STATES)11/09/15 TO 11/13/15"A UNIVERSAL PRIMARY PREVENTION IMPERATIVE: FROM SCIENCE TO POLICY FOR POPULATION HEALTH", BOSTON UNIVERSITY SCHOOL OF PUBLIC HEALTH, GALEA, SANDRO (UNITED STATES)11/16/15 TO 11/20/15"MONITORING UNIVERSAL HEALTH COVERAGE", WORLD HEALTH ORGANIZATION, BOERMA, TIES (SWITZERLAND)11/23/15 TO 11/27/15"DISCUSSION OF PROPOSED

06/11/2015"CHINA'S NEW URBANIZATION PLANNING AND POLICY", COLLEGE OF ARCHITECTURE AND URBAN PLANNING, TONGJI UNIVERSITY, ZHANG, LI (CHINA)05/14/15 TO 06/11/2015"CHINA'S NEW URBANIZATION PLANNING AND POLICY", SAN FRANCISCO STATE UNIVERSITY, LEGATES, RICHARD (UNITED STATES)05/14/15 TO 06/11/2015"CHINA'S NEW URBANIZATION PLANNING AND POLICY", TONGJI UNIVERSITY, ZHAO, MIN (CHINA)05/14/15 TO 06/11/2015"CITIES OF SALT", BARNARD COLLEGE, NEW YORK, CHRISTIANSE, YVETTE (UNITED STATES)05/14/15 TO 06/11/2015"CITIES OF SALT", COLUMBIA UNIVERSITY, MORRIS, ROSALIND (UNITED STATES)05/14/15 TO 06/11/2015"CITIES OF SALT", MUSIC ACADEMY OF KRAKOW, JABRI, ZAYED (POLAND)06/22/15 TO 07/20/2015"COLLABORATIVE GOVERNANCE BETWEEN FOR- AND NON-PROFIT ORGANIZATIONS IN INDIA", CLARK UNIVERSITY, AOYAMA, YUKO (UNITED STATES)06/22/15 TO 07/20/2015"COLLABORATIVE GOVERNANCE BETWEEN FOR- AND NON-PROFIT ORGANIZATIONS IN INDIA", INTERNATIONAL INSTITUTE OF INFORMATION TECHNOLOGY, PARTHASARATHY, BALAJI (INDIA)10/19/15 TO 11/16/2015"PUBLIC VOICE IN THE NEW GILDED AGE", DEPT. OF POLITICAL SCIENCE, BOSTON COLLEGE, SCHLOZMAN, KAY (UNITED STATES)10/19/15 TO 11/16/2015"PUBLIC VOICE IN THE NEW GILDED AGE", UNIVERSITY OF CALIFORNIA, BRADY, HENRY E. (UNITED STATES)2015 BELLAGIO CENTER RESIDENTS:ATHENS, LUCIA, (UNITED STATES) CITY OF AUSTIN "BOOK - SUSTAINABILITY: A STATE OF MIND" BARTON, CYNTHIA, (UNITED STATES) NYC EMERGENCY MANAGEMENT "A LOCAL SOLUTION TO A GLOBAL PROBLEM: USING DEPLOYABLE POST-DISASTER HOUSING FOR URBAN COMMUNITY RECOVERY" BELL, GIOCONDA, (NICARAGUA) PEN "THE CLOUD FOREST" BENDER, STEPHEN, (UNITED STATES) ORGANIZATION OF AMERICAN STATES (RETIRED) "DISASTERS AND THE BUILT ENVIRONMENT IN DEVELOPMENT" BERNHOLZ, LUCY, (UNITED STATES) STANFORD UNIVERSITY, STANFORD "INVENTING DIGITAL CIVIL SOCIETY: THE FUTURE OF GOOD" BLAKE, MICHAEL, (SOUTH AFRICA) UNIVERSITY OF STELLENBOSCH "AFRIKOSMOS: A CYCLE OF MUSIC FOR SOLO PIANO" BORELLI, CATERINA, (ITALY) LA7 TV CHANNEL, ROME "THE HOUSE THAT FATHER BUILT, A DOCUMENTARY" BRUBAKER, ROGERS, (UNITED STATES) UNIVERSITY OF CALIFORNIA, LOS ANGELES "RELIGION, LANGUAGE, AND THE POLITICS OF DIFFERENCE" CARDEN, DAVID, (UNITED STATES) JONES DAY "HAUNTED BY THE TRUTH: MAPPING RISKS IN THE MODERN WORLD" CARNegie, RONDA, (UNITED STATES) TED "INSTITUTING CHANGE: REIGNITING INNOVATION AND SOCIAL RESPONSIBILITY" CHAUDHURY, SUPARNA, (INDIA) CATCH NEWS "THE TWISTED TONGUE: THE LAW, MEDIA AND SEXUAL POLITICS IN INDIA" COHEN, LEWIS, (UNITED STATES) TUFTS UNIVERSITY SCHOOL OF MEDICINE "FATAL DECISIONS: PALLIATIVE MEDICINE AND THE DEATH WITH DIGNITY MOVEMENT" COLEMAN, GRISHA, (UNITED STATES) ARIZONA STATE UNIVERSITY "ECHO::SYSTEM / ACTIONSTATION.3-FOREST / I GET LOST" CUMMINGS, SCOTT, (UNITED STATES) BOSTON COLLEGE ""FINDING INSPIRATION: MARIA IRENE FORNES'S UNFINISHED MANUAL FOR PLAYWRIGHTS"" DOLNY, HELENA, (SOUTH AFRICA) GREY MATTERS "MEMENTO MORI: LET'S TALK ABOUT DYING" DUBIN, STEVEN, (UNITED STATES) TEACHERS COLLEGE--COLUMBIA UNIVERSITY "DEVELOPING CHARACTERS: THE LIMITS AND POSSIBILITIES OF APARTHEID-ERA PORTRAITURE" EDELMAN, PETER, (UNITED STATES) GEORGETOWN UNIVERSITY LAW CENTER "BOOK PROJECT ON URBAN POVERTY" FAIRCHILD, DENISE, (UNITED STATES) EMERALD CITIES COLLABORATIVE "THE CULTURAL DIMENSIONS OF CLIMATE CHANGE RESILIENCE" FRADER, LAURA, (UNITED STATES) NORTHEASTERN UNIVERSITY "BUILDING ECONOMIC SECURITY: BRITAIN, EUROPE, AND GENDER EQUALITY" FRUMKIN, HOWARD, (UNITED STATES) UNIVERSITY OF WASHINGTON "ENVIRONMENTAL HEALTH: FROM GLOBAL TO LOCAL" GELLES, RICHARD, (UNITED STATES) UNIVERSITY OF PENNSYLVANIA "COMPLETING MY BOOK: RE-VISIONING CHILD WELFARE" GEURTS, KATHRYN, (UNITED STATES) HAMLINE UNIVERSITY "DISABILITY SENSIBILITIES IN METROPOLITAN ACCRA 1950-2010" GLICK, JOHN, (UNITED STATES) UNIVERSITY OF PENNSYLVANIA SCHOOL OF MEDICINE "THE ACADEMY OF MASTER CLINICIANS, A UNIQUE PROGRAM AT THE PEARLMAN SCHOOL OF MEDICINE OF THE UNIVERSITY OF PENNSYLVANIA TO PROMOTE CLINICAL EXCELLENCE (TO BE PUBLISHED BY THE JOURNAL HEALTH AFFAIRS)" HO, SIU KEE, (CHINA) ACADEMY OF VISUAL ARTS, HONG KONG BAPTIST UNIVERSITY "AUREOLA" IPADEOLA, TADE, (NIGERIA) PEN INTERNATIONAL NIGERIA CENTRE "THE KALAHARI TESTAMENTS" ISBIN, SHARON, (UNITED STATES) THE JUILLIARD SCHOOL "SHARON ISBIN EDITION OF RICHARD DANIELPOUR SONG CYCLE" ISHAY, MICHELINE, (UNITED STATES) UNIVERSITY OF DENVER "THE LEVANT EXPRESS: THE ARAB UPRISINGS AND THE FUTURE OF HUMAN RIGHTS" JAYAWARDHANA, RAY, (CANADA) YORK UNIVERSITY "OUR COSMIC SELVES" JEGA, ATTahiru, (NIGERIA) BAYERO UNIVERSITY, KANO "BOOK: THE CHALLENGES OF MANAGING ELECTIONS IN NIGERIA'S NASCENT DEMOCRACY" KESSLER, JON, (UNITED STATES) COLUMBIA UNIVERSITY "THE TIME WAS NOW" KINYANJUI, MARY, (KENYA) INSTITUTE FOR DEVELOPMENT STUDIES, UNIVERSITY OF NAIROBI "UBUNTU COMMERCIAL NESTS IN AFRICAN MARKETS: CULTURAL DISTRICTS IN THE EVOLUTION OF THE AFRICAN METROPOLIS" KOS, PAUL, (UNITED STATES) SAN FRANCISCO ART INSTITUTE "ICE MELTING, AN ART EXHIBITION" MARTENS, BETSEY, (UNITED STATES) NATIONAL ASSOCIATION OF HOUSING & REDEVELOPMENT OFFICIALS, NAHRO "HOUSING AMERICA: RE-IMAGINING A PUBLIC HOUSING PRESERVATION POLICY" MARTON LEFEVRE, JULIA, (FRANCE) UNION FOR CONSERVATION OF NATURE "EFFORTS TO BUILD A BETTER WORLD: AN INTERNATIONAL JOURNEY" MASSY, CHARLES, (AUSTRALIA) THE AUSTRALIAN NATIONAL UNIVERSITY "A NON-FICTION BOOK TITLED 'TRANSFORMING EARTH'" MCCAREY, PETER, (SCOTLAND) WORLD HEALTH ORGANIZATION "THE SYLLABARY, INTERDISCIPLINARY INTERACTION PROJECT" MECHAIL, PATRICIA, (UNITED STATES) MHEALTH ALLIANCE "THE FUTURE OF HEALTH IN LOW AND MIDDLE INCOME COUNTRIES IS DIGITAL" MELEIS, AFAF I., (UNITED STATES) UNIVERSITY OF PENNSYLVANIA "URBANIZATION AND WOMEN'S HEALTH: A FUTURE AGENDA FOR HEALTH CARE"

	<p>TRANSPORTATION"O'REGAN, CATHERINE "KATE", (SOUTH AFRICA) SOUTH AFRICAN CONSTITUTIONAL COURT "CULTIVATING A CONSTITUTION: THE WORK OF SOUTH AFRICA'S CONSTITUTIONAL COURT - PART 1"OWOUR, YVONNE, (KENYA) KWANI TRUST "DRAGONFLY TIDE (NOVEL, WORK-IN-PROGRESS)"PEACHEY, JEFFREY, (UNITED STATES) "FRAGMENTED: BOOKBINDING IN THE EARLY NINETEENTH CENTURY"PETERS, CHARLES, (UNITED STATES) THE NEW YORK BOTANICAL GARDEN "MANAGING THE WILD: EXPERIMENTS ON THE SUSTAINABLE USE OF TROPICAL FOREST RESOURCES"PICHET, CHRISTY, (UNITED STATES) STUART FOUNDATION "PHILANTHROPY AS CATALYST FOR SOCIAL INNOVATION"QUICK, JONATHAN, (UNITED STATES) MANAGEMENT SCIENCES FOR HEALTH "THE LAST EPIDEMIC: LOCAL DISEASE OUTBREAKS ARE INEVITABLE. MAJOR EPIDEMICS ARE PREVENTABLE"RAMIREZ-VALLES, JESUS, (UNITED STATES) UNIVERSITY OF ILLINOIS AT CHICAGO "QUEER AGING: STORIES OF THE GAYEST MALE GENERATION"RAPP, STEPHEN, (UNITED STATES) US HOLOCAUST MEMORIAL MUSEUM-CENTER FOR PREVENTION OF GENOCIDE "JOINING TRUTH AND JUSTICE PROCESSES AFTER MASS ATROCITIES: DEVELOPING A COLLABORATIVE PROCESS TO ESTABLISH PRINCIPLES AND GUIDELINES"ROMAN, JOE, (UNITED STATES) UNIVERSITY OF VERMONT "TASTES LIKE CATFISH: SEAFOOD MISLABELING AND THE END OF WILD FISHERIES"ROY, ANANYA, (UNITED STATES) UNIVERSITY OF CALIFORNIA, BERKELEY "THE SLUM-FREE CITIES OF THE ASIAN CENTURY"SAHNI, URVASHI, (INDIA) STUDYHALL EDUCATIONAL FOUNDATION "GIRLS EDUCATION IN INDIA: FROM LEARNING OUTCOMES TO LIFE OUTCOMES"SALEHI-ISFAHANI, DJAVAD, (UNITED STATES) VIRGINIA TECH "ECONOMIC DEVELOPMENT AND SOCIAL MODERNIZATION IN THE ISLAMIC REPUBLIC OF IRAN"SALZMAN, JAMES, (UNITED STATES) UNIVERSITY OF CALIFORNIA "GETTING TO SCALE: LESSONS LEARNED FROM PAYMENTS FOR ECOSYSTEM SERVICES"SARABHAI, MALLIKA, (INDIA) DARPARA ACADEMY OF PERFORMING ARTS "A VIEW FROM THE MIDDLE"SAUVAGE, PIERRE, (UNITED STATES) CHAMBON FOUNDATION AND CROWN THY GOOD: VARIAN FRY IN MARSEILLE""SEPETYS, RUTA, (UNITED STATES) PENGUIN BOOKS "SALT TO THE SEA"SKRENTNY, JOHN, (UNITED STATES) UNIVERSITY OF CALIFORNIA-SAN DIEGO "WILL SCIENTISTS SAVE US?"SMUTS, DAVID, (NAMIBIA) HIGH COURT OF NAMIBIA "ASSERTING RIGHTS IN A HOSTILE ENVIRONMENT: THE STRUGGLE FOR JUSTICE AND HUMAN RIGHTS IN NAMIBIA FROM 1980 TO INDEPENDENCE IN 1990"SORENSEN, GLORIAN, (UNITED STATES) HARVARD SCHOOL OF PUBLIC HEALTH/DANA-FARBER CANCER INSTITUTE "ADDRESSING DISPARITIES IN WORKER HEALTH: COMPARISON OF INTEGRATED APPROACHES TO HEALTH PROMOTION AND HEALTH PROTECTION IN THE U.S. AND INDIA"STIGLITZ, JOSEPH, (UNITED STATES) COLUMBIA UNIVERSITY "INEQUALITY REVISITED: MARKET POWER, RENT-SEEKING AND EXPLOITATION"SWIG, ROSELYNE, (UNITED STATES), "KNOW THYSELF"TANNER, ADAM, (UNITED STATES) HARVARD UNIVERSITY "MED MEN: HOW THE HIDDEN TRADE IN OUR PRIVATE MEDICAL DATA BECAME A MULTI-BILLION DOLLAR BUSINESS"THOMAS, EVAN, (UNITED STATES) PORTLAND STATE UNIVERSITY "IMPROVING GLOBAL IMPACT - HOW THE INTEGRATION OF FEEDBACK MECHANISMS IN HUMANITARIAN INTERVENTIONS MAY DEMONSTRATE AND ENHANCE POSITIVE CHANGE"UNGAR, SANFORD, (UNITED STATES) GEORGETOWN UNIVERSITY "ADDRESSING AMERICA'S ESTRANGEMENT: UNIVERSAL STUDY ABROAD"WASHINGTON, EUGENE, (UNITED STATES) DUKE UNIVERSITY AND DUKE UNIVERSITY HEALTH SYSTEM (DUHS) "ACADEMIC HEALTH SYSTEMS' EVOLUTION TO POPULATION HEALTH IMPROVEMENT: CREATING REQUISITE PARTNERSHIPS"WORTHINGTON, SAMUEL, (UNITED STATES) INTERACTION "THE EVOLVING ROLE AND FUTURE RELEVANCE OF THE US NGO SECTOR: FROM CHARITY AND PROJECT IMPLEMENTERS, TO CATALYTIC PARTNERS, HUMANITARIANS AND DIPLOMATS"</p>
EXPENDITURE RESPONSIBILITY STATEMENT, IN RESPONSE TO PART VII-B, LINE 5C. PROSPECTIVE GRANTEES ARE ASKED TO FURNISH INFORMATION IN ORDER TO ENABLE THE FOUNDATION TO DETERMINE WHETHER THEY HAVE RECEIVED A DETERMINATION OF 501(C)(3) STATUS FROM THE U.S. INTERNAL REVENUE SERVICE OR MAY BE CONSIDERED THE EQUIVALENT OF A TAX-EXEMPT CHARITY, IF FORMED OUTSIDE THE UNITED STATES. PROSPECTIVE GRANTEES WITH RESPECT TO WHOM THE FOUNDATION IS BELIEVED TO HAVE "EXPENDITURE RESPONSIBILITY" OBLIGATIONS ARE INFORMED BY LETTER, BEFORE PAYMENT IS MADE ON A GRANT, OF THE RESPONSIBILITIES IMPOSED UPON THE FOUNDATION AND ITS GRANTEES BY THE INTERNAL REVENUE CODE OF 1986, AS AMENDED. EACH GRANTEE MUST AGREE IN WRITING TO THOSE TERMS AND CONDITIONS, INCLUDING THE OBLIGATION TO PROVIDE FINANCIAL AND SUBSTANTIVE REPORTS ON HOW FOUNDATION GRANT FUNDS ARE EXPENDED. THE REPORTS THAT ARE RECEIVED ARE REVIEWED FOR GENERAL ACCURACY, SUFFICIENCY AND COMPLIANCE WITH THE TERMS AND CONDITIONS OF THE GRANT. ADDITIONAL INFORMATION IS REQUESTED FROM GRANTEES IN INSTANCES WHERE REPORTS ARE CONSIDERED INADEQUATE. WHERE THE FOUNDATION HAS NOT RECEIVED FINANCIAL OR SUBSTANTIVE REPORTS FROM A GRANTEE WHEN EXPECTED, FOUNDATION STAFF FOLLOW UP IN SEVERAL WAYS, INCLUDING REMINDERS THAT ARE SENT AT REGULAR INTERVALS AFTER REPORTS ARE EXPECTED AND PERSONAL FOLLOW-UP BY PROGRAM AND GRANTS MANAGEMENT STAFF	990PF, PART VII-B, LINE 5(C)

		BASED OUTSIDE THE U.S. THAT HAVE BEEN FOUND TO BE THE EQUIVALENT OF A U.S. PUBLIC CHARITY.
LEGAL FEES	990-PF, PART I, LINE 16A	LEGAL FEES INCLUDE AN AMOUNT FOR PATTERSON BELKNAP WEBB & TYLER LLP ("PBWT") TOTALING \$2,056,879, SUCH AMOUNT INCLUDES FEES PAID DIRECTLY TO PBWT AND FEES TO CERTAIN THIRD PARTY ADVISORS TO PBWT IN CONNECTION WITH FOUNDATION LEGAL MATTERS.
CONTROLLED ENTITIES	990-PF, PAGE 5, PART VII-A, LINE 11	THE FOLLOWING CONTROLLED ENTITIES ARE NOT EXCESS BUSINESS HOLDINGS:UK PROPERTY INVESTMENT CO.C/O THE ROCKEFELLER FOUNDATIONNEW YORK, NY 10018-2702EIN# 98-0413223TRANSACTION: \$959,654 TRANSFER RECEIVED FROM UK PROPERTY INVESTMENT CO. FOR A DISTRIBUTION.SMART POWER FOR RURAL DEVELOPMENT INDIA FOUNDATIONC/O SCORPIO CORPORATE ADVISORSS-405 (LGF), GREATER KAILASH - IINNEW DELHI, INDIAEIN# N/A**TRANSACTION: \$2,502,750 GRANT PAYMENT TO SMART POWER FOR RURAL DEVELOPMENT INDIA FOUNDATION.**THIS ENTITY IS FOREIGN AND HAS NO EIN#, HOWEVER IN ORDER FOR THE RETURN TO BE ACCEPTABLE FOR E-FILING, WE USED THE EIN# 12-3456789